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Example Let $\varphi: \mathbb{Q}(\sqrt{5}) \rightarrow \mathbb{Q}(\sqrt{5})$ be an ismo. Then $\varphi\left(\frac{p}{q}\right) = \frac{p}{q}$. $\varphi(\sqrt{5})$ must = ± 5 .

Definition Automorphism

Proposition $\text{Aut}(K)$ is a group under composition.

Example $\text{Aut}(\mathbb{Q}) = \{\text{Id.}\}$; $\text{Aut}(\mathbb{Q}(\sqrt{5})) \cong \mathbb{Z}_2$.

Proposition If K is an extension of \mathbb{Q} and $\varphi \in \text{Aut}(K)$, then φ fixes \mathbb{Q} .

Theorem Let E/F be an extension and $f(x) \in F[x]$. Let $\alpha \in E$ be a root of $f(x)$.
Let $\varphi \in \text{Aut}(E)$. If φ fixes F , the $\varphi(\alpha)$ is a root of $f(x)$.

Note The theorem says that automorphisms permute roots.

Example $\text{Aut}(\mathbb{Q}(\sqrt[3]{5})) = \{\text{Id.}\}$

Example $p(x) = x^4 + x^3 + x^2 + x + 1 \in \mathbb{Q}[x]$. Let E be splitting field for $p(x)$.
If $\varphi \in \text{Aut}(E)$, will any permutation work?

Example Determine $\text{Aut}(E)$.

Lemma Let K be a field and $E = K(\alpha)$. If $\varphi \in \text{Aut}(E)$ such that φ fixes K and α , then $\varphi = \text{Id}$.

Lemma Let F be a field and $E = F(z_1, z_2, \dots, z_n)$. If $\varphi \in \text{Aut}(E)$ such that φ fixes F and z_i for all i , then $\varphi = \text{Id}$.

Definition (1) $\text{Gal}(E/F)$; (2) The Galois group of $p(x)$ over F .

Proposition $\text{Gal}(E/F) \leq \text{Aut}(E)$.

Example Let $\varphi: \mathbb{Q}(\sqrt{5}) \rightarrow \mathbb{Q}(\sqrt{5})$ be an isomorphism. What does φ do to \mathbb{Q} and $\sqrt{5}$? $\varphi\left(\frac{p}{q}\right) = \frac{p}{q}$. $\varphi(\sqrt{5})$ must = $\pm \sqrt{5}$.

Proof:

We know $\varphi(1) = 1$, $\varphi(n) = \varphi(1 + \dots + 1) = \varphi(1) + \dots + \varphi(1) = n$, $n \in \mathbb{N}$.

And by hmo properties, we have

$\forall n \in \mathbb{N} \varphi(-n) = -\varphi(n) = -n$, $\varphi(n^{-1}) = \varphi(n)^{-1} = n^{-1}$,

and $\forall ab^{-1} \in \mathbb{Q}$ where $a, b \in \mathbb{Z}$, $b \neq 0$, we have

$\varphi(ab^{-1}) = \varphi(a)\varphi(b^{-1}) = \varphi(a)\varphi(b)^{-1} = ab^{-1}$.

So φ must fix \mathbb{Q} . Where can $\sqrt{5}$ get sent? $\sqrt{5}$ is a root of $x^2 - 5$.

Since $\varphi(\sqrt{5})\varphi(\sqrt{5}) = (\varphi(\sqrt{5}))^2 = \varphi(5) = 5$, then

$\varphi(\sqrt{5})$ must equal $\sqrt{5}$ or $-\sqrt{5}$.

Definition Let F be a field and K an extension of F .

(1) An isomorphism $\varphi: K \rightarrow K$ is called an *automorphism* of K .

(2) The set of all automorphisms is denoted $\text{Aut}(K)$.

(3) If φ *fixes* F then $\varphi(x) = x \forall x \in F$. (i.e. $\varphi|_F = \text{Id}_F$)

Proposition $\text{Aut}(K)$ is a group under composition.

Proof:

$i : K \rightarrow K$, the identity map, is an automorphism, hence $\text{Aut}(K) \neq \emptyset$.

Let $\sigma, \tau \in \text{Aut}(K)$. We will show $\sigma \circ \tau$ is an automorphism.

$\forall j, k \in K$, $(\sigma \circ \tau)(j + k) = \sigma(\tau(j) + \tau(k)) = \sigma(\tau(j)) + \sigma(\tau(k))$, and
 $\sigma \circ \tau(jk) = \sigma(\tau(j)\tau(k)) = \sigma(\tau(j))\sigma(\tau(k))$, so $\sigma \circ \tau$ is a homomorphism.

To show $\sigma \circ \tau$ is injective, let $j, k \in K$ such that $\sigma(\tau(j)) = \sigma(\tau(k))$. Then as σ and τ are injective, we have $\sigma(\tau(j)) = \sigma(\tau(k)) \Rightarrow \tau(j) = \tau(k) \Rightarrow j = k$.

To show $\sigma \circ \tau$ is surjective, let $w \in (\sigma \circ \tau)(K)$.

Since σ is surjective, $\exists k \in K$ such that $\sigma(k) = w$.

And since τ is surjective $\exists j \in K$ such that $\tau(j) = k$.

Hence, $\sigma(\tau(j)) = \sigma(k) = w$, and $\sigma \circ \tau$ is surjective,

So $\sigma \circ \tau \in \text{Aut}(K)$. Thus, $\text{Aut}(K)$ is closed under composition.

Since $\forall k \in K$, $(i \circ \sigma)(k) = i(\sigma(k)) = \sigma(k) = \sigma(i(k)) = (\sigma \circ i)(k)$, then the identity map, i , is the identity of $\text{Aut}(K)$.

Claim: $\forall \sigma \in \text{Aut}(K)$, there is $\tau \in \text{Aut}(K)$ such that $\sigma \circ \tau = i = \tau \circ \sigma$.

Define $\tau: K \rightarrow K$ by $\tau(k) = j$, where $k = \sigma(j)$. $\forall k \in K$.

To show τ is well-defined and injective, let $a, b \in K$.

Then $\tau(a) = c$ and $\tau(b) = d$ for some $c, d \in K$.

And since σ is well-defined and injective, we have

$$\tau(a) = \tau(b) \Leftrightarrow c = d \Leftrightarrow \sigma(c) = \sigma(d) \Leftrightarrow a = b.$$

To show τ is surjective, let $j \in \tau(K)$.

Since σ is surjective, then $\sigma(j) = k$ for some $k \in K$, and hence $\tau(k) = j$.

Thus, $\tau = \sigma^{-1}$. Hence every element of $\text{Aut}(K)$ has an inverse.

$\therefore \text{Aut}(K)$ satisfies the axioms of a group under composition.

Example $\text{Aut}(\mathbb{Q}) = \{\text{Id.}\}$; $\text{Aut}(\mathbb{Q}(\sqrt{5})) = \{\varphi_1 = \text{Id.}, \varphi_2\} \cong \mathbb{Z}_2$.

Proposition If K is an extension of \mathbb{Q} and $\varphi \in \text{Aut}(K)$, then φ fixes \mathbb{Q} .

Proof: Homework

We know $\varphi(1) = 1_{\mathbb{Q}(K)}$, so

$$\varphi(n) = \varphi(1 + \dots + 1) = \varphi(1) + \dots + \varphi(1) = n \cdot 1_{\mathbb{Q}(K)} = n, n \in \mathbb{N}.$$

And by hmo properties, we have

$$\forall n \in \mathbb{N} \varphi(-n) = -\varphi(n) = -n \text{ and } \varphi(n^{-1}) = \varphi(n)^{-1} = n^{-1}.$$

So then $\forall w \in \mathbb{Q}$, $w = ab^{-1}$ where $a, b \in \mathbb{Z}$, $b \neq 0$, and we have

$$\varphi(ab^{-1}) = \varphi(a)\varphi(b^{-1}) = \varphi(a)\varphi(b)^{-1} = ab^{-1}.$$

So φ must fix \mathbb{Q} .

Theorem Let E/F be an extension and $f(x) \in F[x]$. Let $\alpha \in E$ be a root of $f(x)$. Let $\varphi \in \text{Aut}(E)$. If φ fixes F , then $\varphi(\alpha)$ is a root of $f(x)$.

Proof:

Let $f(x) = c_0 + c_1x + c_2x^2 + \dots + c_nx^n$, where $c_i \in F$.

$$0 = \varphi(0) = \varphi(f(\alpha))$$

$$= \varphi(c_0 + c_1\alpha + c_2\alpha^2 + \dots + c_n\alpha^n)$$

$$= \varphi(c_0) + \varphi(c_1)\varphi(\alpha) + \varphi(c_2)\varphi(\alpha^2) + \dots + \varphi(c_n)\varphi(\alpha^n)$$

$$= c_0 + c_1\varphi(\alpha) + c_2\varphi(\alpha)^2 + \dots + c_n\varphi(\alpha)^n,$$

$$= f(\varphi(\alpha)).$$

Note The theorem says that automorphisms permute roots.

Example $\text{Aut}(\mathbb{Q}(\sqrt[3]{5})) = \{\text{Id.}\}$

Proof:

Let $f(x) = x^3 - 5$. $X = \{\alpha \mid f(\alpha) = 0\} = \{\sqrt[3]{5}, \sqrt[3]{5} e^{\frac{2\pi i}{3}}, \sqrt[3]{5} e^{\frac{4\pi i}{3}}\}$.

If $\varphi \in \text{Aut}(\mathbb{Q}(\sqrt[3]{5}))$, then φ must send $\sqrt[3]{5}$ to another root of $f(x)$.

Since elements in $\mathbb{Q}(\sqrt[3]{5})$ look like $a + b\sqrt[3]{5} + d(\sqrt[3]{5})^2$ and φ fixes \mathbb{Q} ,

$$\text{then } \varphi(a + b\sqrt[3]{5} + d(\sqrt[3]{5})^2) = \varphi(a) + \varphi(b)\varphi(\sqrt[3]{5}) + \varphi(d)\varphi(\sqrt[3]{5})^2 =$$

$a + b\varphi(\sqrt[3]{5}) + d\varphi(\sqrt[3]{5})^2$. Thus, we know φ is completely determined by where $\sqrt[3]{5}$ gets sent.

$\varphi|_{\mathbb{Q}(\sqrt[3]{5}) \cap X}: \mathbb{Q}(\sqrt[3]{5}) \cap X \rightarrow \mathbb{Q}(\sqrt[3]{5}) \cap X = \{\sqrt[3]{5}\}$ is an automorphism.

And so $\varphi(\sqrt[3]{5}) = \sqrt[3]{5}$ (i.e. $\varphi = \text{Id.}$), hence $\text{Aut}(\mathbb{Q}(\sqrt[3]{5})) = \{\text{Id.}\}$

Example $p(x) = x^4 + x^3 + x^2 + x + 1 \in \mathbb{Q}[x]$. Let E be a splitting field for $p(x)$. If $\varphi \in \text{Aut}(E)$, will any permutation work? No.

Proof:

Since $p(x+1) = x^4 + 5x^3 + 10x^2 + 10x + 5$, and by Eisenstein's Criterion, $p(x+1)$ is irreducible, then $p(x)$ is irreducible.

Let E be a splitting field for $p(x)$.

Let $\varphi \in \text{Aut}(E)$.

Since $x^5 - 1 = (x-1)p(x)$, then the roots of $p(x)$ are the primitive 5th roots of unity.

So $X = \{\alpha \mid p(\alpha) = 0\} = \{e^{\frac{2\pi i}{5}}, e^{\frac{4\pi i}{5}}, e^{\frac{6\pi i}{5}}, e^{\frac{8\pi i}{5}}\} = \{\alpha, \alpha^2, \alpha^3, \alpha^4\}$.

$\varphi|_X: X \rightarrow X$. Suppose $\alpha \mapsto \alpha^2 \mapsto \alpha^3 \mapsto \alpha^4 \mapsto \alpha$.

Then $\varphi(\alpha^2) = \alpha^3$

So $\alpha^4 = \alpha^2 \cdot \alpha^2 = \varphi(\alpha)\varphi(\alpha) = \varphi(\alpha^2) = \alpha^3$. A contradiction.

So this permutation does not give rise to an automorphism.

Example Determine $\text{Aut}(E)$ where E is the splitting field for $p(x)$.

$$\text{Aut}(E) \cong \mathbb{Z}_4.$$

Proof:

You'll show $E = \mathbb{Q}(\alpha)$.

$$E = \{c_0 + c_1\alpha + c_2\alpha^2 + c_3\alpha^3 \mid c_i \in \mathbb{Q}\}$$

Let $\varphi \in \text{Aut}(E)$. φ is completely determined by where α gets sent.

Possible φ 's: $\varphi_1(\alpha) = \alpha$, $\varphi_2(\alpha) = \alpha^2$, $\varphi_3(\alpha) = \alpha^3$, $\varphi_4(\alpha) = \alpha^4$.

Since $\mathbb{Q}(\alpha) \cong \mathbb{Q}[x]/(p(x)) \cong \mathbb{Q}(\alpha^i)$, then all possible φ 's are verified.

Thus $\text{Aut}(E) = \{\varphi_1, \varphi_2, \varphi_3, \varphi_4\}$. So then $\text{Aut}(E) \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ or $\text{Aut}(E) \cong \mathbb{Z}_4$.

Let's find the order of φ_2 .

$\varphi_2 \circ \varphi_2(\alpha) = \varphi_2(\alpha^2) = \varphi_2(\alpha)^2 = (\alpha^2)^2 = \alpha^4$. Since $\varphi_2 \circ \varphi_2(\alpha) \neq \alpha$, then $\text{ord}(\varphi_2) > 2$.

Thus $\text{Aut}(E) \cong \mathbb{Z}_4$.

Lemma Let K be a field and $E = K(\alpha)$. If $\varphi \in \text{Aut}(E)$ such that φ fixes K and α , then $\varphi = \text{Id}$.

Proof:

Let $u \in E$. We want to show $\varphi(u) = u$.

Since $\text{Frac}(K[\alpha]) = K(\alpha)$, then

$$u \in K(\alpha) \Rightarrow u = \frac{f(\alpha)}{g(\alpha)} \text{ where } f(x), g(x) \in K[x].$$

$$\begin{aligned} \varphi(u) &= \varphi\left(\frac{f(\alpha)}{g(\alpha)}\right) &&= \varphi(f(\alpha)g(\alpha)^{-1}) \\ & &&= \varphi(f(\alpha))\varphi(g(\alpha))^{-1} \\ & &&= f(\varphi(\alpha))g(\varphi(\alpha))^{-1} \text{ since } \varphi \text{ fixes } K \\ & &&= f(\alpha)g(\alpha)^{-1} \text{ since } \varphi \text{ fixes } \alpha \\ & &&= u. \end{aligned}$$

Lemma Let F be a field and $E = F(z_1, z_2, \dots, z_n)$. If $\sigma \in \text{Aut}(E)$ such that σ fixes F and z_i for all i , then $\sigma = \text{Id}$.

Proof: By induction. Good thing for you to look at.

Since $E = F(z_1, z_2, \dots, z_{n-1})(z_n)$, it suffices to show this is true for $n = 1$.

For if the result is true for $n = 1$, and we assume the result is true

$\forall k < n$ where $n > 1$, then σ fixes F and z_i for $i = 1, 2, \dots, n - 1$.

We can let $F' = F(z_1, z_2, \dots, z_{n-1})$ and claim σ fixes F' by our induction hypothesis. Then the base case applies to $F'(z_n) = E$ and we have σ is the identity for E .

By the preceding lemma, we have that the result holds for the base case.

$\therefore \sigma$ is the identity mapping.

Definition (1) Let E/F be an extension. The *Galois group* of E over F , denoted $\text{Gal}(E/F)$, is the set of all automorphisms of E that fix F .

(2) Let $p(x) \in F[x]$. The *Galois group of $p(x)$* over F is defined to be $\text{Gal}(E/F)$ where E is a splitting field of $p(x)$.

Proposition $\text{Gal}(E/F) \leq \text{Aut}(E)$.

Proof:

Clearly $\text{Gal}(E/F) \subseteq \text{Aut}(E)$.

$i : E \rightarrow E$, the identity map, is an automorphism that fixes F , hence $\text{Gal}(E/F) \neq \emptyset$.

If $\sigma, \tau \in \text{Gal}(E/F)$, then since $\text{Aut}(E)$ is a group under composition (see proof above), we know $\sigma \circ \tau \in \text{Aut}(E)$.

Since $\sigma, \tau \in \text{Gal}(E/F)$, then $\forall c \in F$, $(\sigma \circ \tau)(c) = \sigma(\tau(c)) = \sigma(c) = c$.

So $\sigma \circ \tau \in \text{Gal}(E/F)$. Hence $\text{Gal}(E/F)$ is closed under composition.

Since $\text{Gal}(E/F) \subseteq \text{Aut}(E)$, i is the identity of $\text{Aut}(E)$, and i fixes F , then i is the identity of $\text{Gal}(E/F)$.

Since every element σ of $\text{Aut}(E)$ has an inverse, σ^{-1} , then if

$\sigma \in \text{Gal}(E/F)$, we have

$\forall c \in F$, $\sigma(c) = c$, and $\sigma^{-1}(c) = \sigma^{-1}(\sigma(c)) = c$, hence $\sigma^{-1} \in \text{Gal}(E/F)$.

$\therefore \text{Gal}(E/F)$ satisfies all the axioms of a subgroup.