

1. Write the definitions of the following objects.

(a) A metric space.

A pair (X, d) where X is a set and $d: X \times X \rightarrow \mathbb{R}$ is a function such that

$$\forall x, y, z \in X$$

$$(M1) \ d(x, y) \geq 0,$$

$$(M2) \ d(x, y) = 0 \Leftrightarrow x = y$$

$$(M3) \ d(x, y) = d(y, x)$$

$$(M4) \ d(x, y) \leq d(x, z) + d(z, y).$$

(b) A normed space.

A vector space with a norm function, $\|\cdot\|: X \rightarrow \mathbb{R}$, defined on it such that

$$\forall x, y \in X, \forall \alpha \in K,$$

$$(N1) \ \|x\| \geq 0$$

$$(N2) \ \|x\| = 0 \Leftrightarrow x = 0$$

$$(N3) \ \|\alpha x\| = |\alpha| \|x\|$$

$$(N4) \ \|x + y\| \leq \|x\| + \|y\|$$

(c) A Banach space.

A complete normed space.

(d) A Hamel basis of a vector space.

A linearly independent subset of a vector space, X , which spans X .

(e) A Schauder basis of a normed space.

A sequence $(e_n) \subset X$, a vector space, such that $\forall x \in X, \exists (a_n) \subset K$ and $n \in \mathbb{N}$ such that $\|x - \sum \alpha_n e_n\| < \varepsilon$.

(f) $(l^p, \|\cdot\|_p)$ Banach space.

A complete normed vector space in which $l^p = \{(x_n)_{n \in \mathbb{N}} \mid \sum |x_n|^p < +\infty\}$ and

$$\forall x \in X, \|x\|_p = (\sum |x_n|^p)^{1/p} \text{ for } p \geq 1.$$

(g) $(\mathcal{C}[a, b], \|\cdot\|)$ Banach space.

A complete normed vector space in which $\mathcal{C}[a, b] = \{f: [a, b] \rightarrow \mathbb{R} \mid f \text{ is continuous}\}$ and

$$\forall f \in \mathcal{C}[a, b], \|f\| = \max_{x \in [a, b]} |f(x)|.$$

(h) $\text{span}(M)$ where M is a subset of a vector space X .

$$\text{span}(M) = \left\{ \sum_{i=1}^n \alpha_i x_i \mid \alpha_1, \alpha_2, \dots, \alpha_n \in K, x_1, x_2, \dots, x_n \in M \right\}.$$

(i) The dimension of a Banach space.

The cardinality of the basis of the Banach vector space.

2. Give examples of the following facts. Explanations with proofs and (or) counterexamples are required.

(a) A normed space which is not a Banach space.

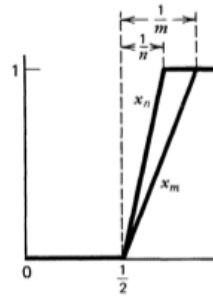
Let $X = \mathcal{C}[0, 1]$. Let $d: X \times X \rightarrow \mathbb{R}$ be defined by $d(f, g) = \int_0^1 |f(t) - g(t)| dt$.

Then (X, d) is not complete, hence not a Banach space.

Proof:

We will construct a Cauchy sequence of functions that limits to a function outside of X .

$$\text{Define } f_m(x) = \begin{cases} 0 & : 0 \leq x \leq \frac{1}{2} \\ \frac{1}{m}(x - \frac{1}{2}) & : \frac{1}{2} < x < \frac{1}{2} + \frac{1}{m} \\ 1 & : \frac{1}{2} + \frac{1}{m} \leq x \leq 1 \end{cases}$$



Let $\varepsilon > 0$. Let $N > 1/\varepsilon$. Then $\forall n > m > N$,

$$d(f_n, f_m) = \frac{n-m}{2nm} < \frac{n}{2nm} = \frac{1}{2m} < \frac{1}{N} < \varepsilon.$$

$\therefore f_m$ is Cauchy.

However, as $m \rightarrow +\infty$, $1/2 + 1/m \rightarrow 1/2$, so then $f_m(x) \rightarrow f(x) = \begin{cases} 0 & : x \leq 1/2 \\ 1 & : x > 1/2 \end{cases}$

and $f(x) \notin \mathcal{C}[0, 1]$. $\therefore (X, d)$ is not complete.

(b) A metric on a vector space which cannot be obtained from a norm.

Let $s = \{\text{all real sequences}\}$.

Let $d: s \times s \rightarrow \mathbb{R}$ be defined by $d(x, y) = \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|\xi_n - \eta_n|}{1 + |\xi_n - \eta_n|}$. Then

d cannot be obtained from a norm.

Proof:

This metric fails the homogeneity property of the norm as

$$\sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|\alpha \xi_n|}{1 + |\alpha \xi_n|} \neq |\alpha| \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|\xi_n|}{1 + |\xi_n|}.$$

2. (c) A Schauder basis which is not a Hamel basis.

Let $X = l^p$.

Let $e_n = (\delta_{nj})$ where

$\delta_{1j} = (1, 0, 0, \dots)$, $\delta_{2j} = (0, 1, 0, 0, \dots)$, ..., $\delta_{nj} = (0, 0, \dots, 0, 1, 0, 0, \dots)$,

Then $B = \{e_1, e_2, \dots\}$ is a Schauder basis and is not a Hamel basis.

Proof:

To show B is a Schauder basis, let $\mathbf{x} \in X$, and note that

$\mathbf{x} = (\alpha_1, \alpha_2, \dots) = \sum \alpha_n (\delta_{nj}) = \sum \alpha_n e_n$ where $\alpha_1, \alpha_2, \dots \in K$.

$\therefore (\delta_{nj})$ is a Schauder basis for l^p .

To show B is not a Hamel basis, let $\mathbf{x} = (1/n^2)_{n \in \mathbb{N}}$.

Then $\forall n \in \mathbb{N} \sum_{i=1}^n \frac{1}{i^2} \delta_{ij} = (0, 0, \dots, \frac{1}{n^2}, \frac{1}{(n+1)^2}, 0, 0, \dots) \neq \mathbf{x}$.

So then $\mathbf{x} - \sum_{i=1}^n \frac{1}{i^2} \delta_{ij} = (1, \frac{1}{2^2}, \frac{1}{3^2}, \dots, \frac{1}{(n-1)^2}, 0, 0, \dots) \neq \mathbf{x}$.

$\therefore \nexists n \in \mathbb{N} \ni \mathbf{x} = \sum_{i=1}^n \frac{1}{i^2} (\delta_{ij})$, a finite sum of basis elements.

(d) An absolutely convergent series which is not convergent.

Let $Y \subset l^\infty$ such that Y is the set of all sequences with only finitely many

terms. Let $e_n = (\delta_{nj})$ as defined in (c) above. Then for $\mathbf{x}_n = \frac{e_n}{n^2}$, $\sum \mathbf{x}_n \notin Y$.

Proof:

$\mathbf{x}_1 = (1, 0, 0, \dots)$

$\mathbf{x}_2 = (0, 1/4, 0, 0, \dots)$

$\mathbf{x}_n = (0, 0, \dots, 0, 1/n^2, 0, 0, \dots)$

So for each n , \mathbf{x}_n has only one non-zero term.

Hence, $(\mathbf{x}_n) \subset Y$.

And $\sum \|\mathbf{x}_n\| = \sum \sup |1/n^2|$ converges as it is a convergent p -series.

However, $\sum \mathbf{x}_n = (1, 1/4, 1/9, \dots, 1/n^2, 1/(n+1)^2, \dots)$, a sequence of infinitely many non-zero terms, hence not an element of Y .

(e) A Cauchy sequence which is not convergent.

Let $X = \mathbb{Z}$ and $d(m, n) = |m^{-1} - n^{-1}|$. Let $x_n = n$.

Then (x_n) is a non-convergent Cauchy sequence.

Proof:

Let $\varepsilon > 0$. Choose $N \in \mathbb{N} \ni N > 1/\varepsilon$.

Then $\forall n \geq N$, $|x_n - x_{n+1}| = |1/n - 1/(n+1)| < 1/n < \varepsilon$.

Thus (x_n) is Cauchy. However, (x_n) does not converge.

For if $\exists x \in \mathbb{R} \ni x_n \rightarrow x$, then x must be the least upper bound of (x_n) as x_n is increasing. However, we can find $n \in \mathbb{N}$ such that $n = x_n > x$, a contradiction.

3. Answer the following questions. Explanations with proofs and (or) counterexamples are required.

(a) Is $Y = \{(x_1, x_2, x_3) \in \mathbb{R}^3 \mid x_1 + 2x_2 - 3x_3 = 0\}$ a subspace of \mathbb{R}^3 ?

Yes.

Proof:

Since $0 + 2 \cdot 0 - 3 \cdot 0 = 0$, then $(0, 0, 0) \in Y$, hence $Y \neq \emptyset$.

Let $x = (x_1, x_2, x_3), y = (y_1, y_2, y_3) \in \mathbb{R}^3$ and let $\alpha \in K$.

Then $\alpha x + y = (\alpha x_1 + y_1, \alpha x_2 + y_2, \alpha x_3 + y_3)$ and

$$\alpha x_1 + y_1 + 2(\alpha x_2 + y_2) - 3(\alpha x_3 + y_3)$$

$$\alpha(x_1 + 2x_2 - 3x_3) + y_1 + 2y_2 - 3y_3 =$$

$$\alpha \cdot 0 + 0 = 0.$$

$$\therefore \alpha x + y \in Y.$$

So then Y is a subspace of \mathbb{R}^3 .

(b) Are $x_1(t) = t$ and $x_2(t) = t^2$ linearly independent in $\mathcal{C}[0, 1]$?

Yes.

Proof:

Suppose $\alpha_1 t + \alpha_2 t^2 = 0$. If $\alpha_1 \neq 0$, then $t(\alpha_2 t + \alpha_1) = 0$, hence $t = 0$ or $t = -\alpha_1/\alpha_2$.

But this equation must be true for all $t \in [0, 1]$.

Thus, $\alpha_2 = 0$, which gives us that $\alpha_1 t = 0 \forall t \in [0, 1]$, hence $\alpha_1 = 0$.

$\therefore x_1$ and x_2 are linearly independent in $\mathcal{C}[0, 1]$.

(c) In a Banach space does $\|x_n\| \rightarrow \|x\| \Rightarrow x_n \rightarrow x$?

No.

Proof:

Let $X = \{z \in \mathbb{C} \mid \|z\| = 1\}$. Let $x_n = \cos \pi n + i \sin \pi n$.

Then $\|x_n\| = 1$ for all $n \in \mathbb{N}$, or, equivalently, $\|x_n\| \rightarrow 1$.

But x_n converges alternately to -1 and 1 , hence, does not converge.

What about $x_n \rightarrow x$ implying $\|x_n\| \rightarrow \|x\|$?

Yes.

Proof:

Let $\varepsilon > 0$. If $x_n \rightarrow x$, then $\exists N \in \mathbb{N} \ni \|x_n - x\| < \varepsilon$.

$$\|x_n\| = \|x_n - x + x\| \leq \|x_n - x\| + \|x\|.$$

$$\|x\| = \|x - x_n + x_n\| \leq \|x - x_n\| + \|x_n\|.$$

$$\text{Thus, } \left| \|x_n\| - \|x\| \right| \leq \|x_n - x\| < \varepsilon. \therefore \|x_n\| \rightarrow \|x\|.$$